

Article 27 You should not be disadvantaged so that you can't do many of the things other children can do.



Cockton Hill Junior School **Complaints Procedure Policy 2021-2022**

Background

Since 1 September 2003 Governing Bodies of all maintained schools and maintained nursery schools in England have been required, under Section 29 of the Education Act 2002, to have a procedure in place to deal with complaints relating to the school and to any community facilities or services that the school provides. In October 2000 The Human Rights Act came into force, and schools should be mindful to give consideration to Article 6, "The Right to a Fair Trial". All schools must have a publicised complaints procedure.

There are certain complaints which fall outside the remit of the Governing Body's complaints procedure. They include:

- Matters that are the responsibility of the Local Authority
- Conduct of staff at the school
- Content of a statutory statement of special educational needs
- Pupil admissions
- Pupil exclusions
- The national curriculum and related issues including religious education
- Child protection

Appendix 1 explains where to direct complaints about these issues.

- The Governing Body should ensure that any third party providers offering community facilities or services through the school premises, or using school facilities, have their own complaints procedure in place.

Purpose of a Complaints Procedure

This procedure aims to reassure parents and others with an interest in the school that:

- Where possible, complaints will be dealt with informally and at the lowest possible level in school in order to reach a resolution promptly;
- Any complaint against the school will be dealt with in a fair, open and responsive way, with the aim of achieving a speedy and satisfactory resolution; and
- The school recognises that a willingness to listen to questions and criticism and to respond positively, can lead to improvements in school in practices as well as provision.

An effective Complaints Procedure will:

- encourage resolution of problems by informal means wherever possible
- be easily accessible and publicised
- be simple to understand and use
- be impartial
- be non-adversarial
- allow swift handling with established time-limits for action and keeping people informed of the progress
- ensure a full and fair investigation by an independent person where necessary
- respect people's desire for confidentiality wherever possible
- address all the points at issue and provide an effective response and appropriate redress, where necessary

Investigating Complaints

It is suggested that at each stage, the person investigating the complaint makes sure that they:

- establish what has happened so far, and who has been involved
- clarify the nature of the complaint and what remains unresolved
- meet with the complainant or contact them (if unsure or further information is necessary)
- clarify what the complainant feels would put things right
- interview those involved in the matter and/or those complained of, allowing them to be accompanied if they wish
- conduct the interview with an open mind and be prepared to persist in the questioning
- keep notes of the interview

Resolving Complaints

At each stage in the procedure schools will want to keep in mind ways in which a complaint can be resolved. It might be sufficient to acknowledge that the complaint is valid in whole or in part. In addition, it may be appropriate to offer one or more of the following:

- an apology
- an explanation
- an admission that the situation could have been handled differently or better
- It would be useful if complainants were encouraged to state what actions/outcome they feel might resolve the problem at any stage
- An admission that the school could have handled the situation better is not the same as an admission of negligence
- an assurance that the event complained of will not recur
- an explanation of the steps that have been taken to ensure that it will not happen again
- an undertaking to review school policies in light of the complaint

An effective procedure will identify areas of agreement between the parties. It is also of equal importance to clarify any misunderstandings that might have occurred as this can create a positive atmosphere in which to discuss any outstanding issues.

Recording Complaints

Schools should record the progress of the complaint and the final outcome. A complaint may be made in person, by telephone or in writing. At the end of a meeting or telephone call, it would be helpful to ensure that the complainant and the school have the same understanding of what was discussed and agreed. A brief note of meetings and telephone calls may be kept and a copy of any written response added to school records.

Monitoring the Policy

The Governing Body should review their Complaints Policy regularly and monitor the number and range of complaints received, how these were dealt with and any action taken. The monitoring and review of complaints can be a useful tool in evaluating the school's performance.

Complaints about the Head Teacher

Where it is clear that a complaint is against the Head Teacher the matter has to be referred immediately to the Chair of Governors who, acting as *line manager*, will be responsible for dealing with the matter.

It is not always clear if the complaint is against the Head Teacher given they are responsible for all operational decisions across the school even if made by other staff. In most cases complaints raise concerns around the conduct of the Head Teacher. In any event, advice from the Local Authority should be sought.

School and Governor Support Service can assist in the investigation of such complaints if the individual schools are provided with this support.

Time Limits

Complaints need to be considered, and resolved, as quickly and efficiently as possible so this will depend on each individual case. An effective complaints procedure will have realistic time limits for each action within each stage. However, where further investigations are necessary, new time limits can be set and the complainant sent details of the new deadline and an explanation for the delay.

At each stage it is helpful to clarify exactly who will be involved, what will happen, and how long it will take. There may, on occasion, be the need for some flexibility, for example, the possibility of further meetings between the complainant and the person investigating the complaint.

Complaints Policy

Stage 1– Complaint heard by staff member

It is in everyone's best interest that complaints are resolved at the earliest possible stage and as quickly as possible. The experience of the first contact between the complainant and the school can be crucial in determining whether the complaint will escalate. It is hoped that at this stage the complaint can be communicated clearly and resolved.

If the member of staff involved feels too compromised to deal with a complaint, the complaint could be referred to another staff member. The member of staff may be more senior but does not have to be. The ability to consider the complaint objectively and impartially is crucial. Where the complaint concerns the Head Teacher the complainant is referred to the Chair of Governors.

We encourage all staff to deal with issues that arise or queries that parents and carers may have. If the complainant is not satisfied with the response they should request that their concerns be referred to the Head Teacher.

Stage 2 – Complaint heard by Head Teacher

It is hoped that at this stage the complaint can be communicated clearly to the Head Teacher via meeting, phone call, letter or email and resolved as effectively as possible.

The Head Teacher will seek to acknowledge the complaint within initial communication via verbal or written communication. This may then provide an opportunity to meet the complainant to discuss the complaint. The Head Teacher will investigate the complaint and seek to resolve the complaint as soon as possible. This will then be communicated with all parties via verbal or written communication.

The response will include reasons for the conclusions reached by the Head Teacher, what action, if any, the school proposes to take to resolve the matter and advise the complainant of the right to request a meeting with Governors if they remain dissatisfied with the outcome.

The complainant will have 5 school days from receipt of the outcome in which to ask for an appeal.

Stage 3 – Appeal Heard by a Committee of the Governing Body

Where a complainant has made a complaint and is not satisfied with the outcome, they should **write** to the Clerk to the Governing Body giving details of their concerns and asking for an appeal against the decision or action taken by the Head Teacher. The Clerk will seek to arrange a meeting of the appropriate school's Governing Body within 20 school days of receiving the letter.

The Governing Body of the school will only hear appeals that have already progressed through Stages 1 and 2 of this procedure.

This is the last stage of the complaints policy.

The Complaints Committee

The Committee should consist of no less than three Governors who will appoint their own Chair. The Head Teacher would be expected to attend the appeal hearing to give evidence and explain the conclusions.

The Committee can:

- Dismiss the complaint in whole or in part
- Uphold the complaint in whole or in part
- Decide on the appropriate action to be taken to resolve the complaint
- Recommend changes to the school's systems or procedures to ensure that problems of a similar nature do not recur.

Notification of the Committee's Decision

The Chair of the Committee needs to ensure that the complainant is notified of the Committee's decision, in writing.

The Clerk to the Governing Body will notify in writing the outcome of the appeal to the complainant and Head Teacher within five school days of the hearing.

Further Recourse

Secretary of State for Education

The decision of the Governors' Complaints Committee is final but under Section 496 or 497 of the Education Act 1996, complainants have a right of appeal to the Secretary of State for Education on the grounds that:

- A Governing Body is acting or proposing to act unreasonably; or
- The Governing Body has failed to discharge its duties under the Act.

The Secretary of State would not take action until the school procedures have been completed.

Contact Details:

Ministerial and Public Communications Division
Department for Education
Piccadilly Gate
Store Street
Manchester
M1 2WD
Tel: 0370 000 2288

Local Government Ombudsman

- If a complainant feels that there has been maladministration in the manner in which a complaint has been dealt with, they can take this to the Local Government Ombudsman. The Ombudsman can investigate complaints about how something has been done but they cannot question what has been done simply because someone does not agree with it. The Ombudsman cannot investigate the internal management of schools and colleges.
- The Ombudsman would not take action until the school procedures have been completed.

Contact Details: Local Government Ombudsman Advice Team 0300 061 0614 email enquiries@legalombudsman.org.uk

Vexatious Complaints

If properly followed, the complaints procedure will limit the number of complaints that become protracted. However, there will be occasions when, despite all stages of the procedures

having been followed, the complainant remains dissatisfied. If the complainant tries to reopen the same issue, the Clerk to the Committee hearing the complaint at Stage 3 of the process is able to inform them in writing that the procedure has been exhausted and that the matter is now closed.

Monitoring

This is a whole school policy of which staff, children and parents have ownership and therefore working together as a whole is essential for it to work effectively.

Ratified September 2021 and to be reviewed annually

Signed: *A.Caine*

Head Teacher

Date: 23.9.21

Signed: *B. Mugwendere*

Governor

Date: 25.9.21

Appendix 1

Types of Complaint	Appropriate Procedure	Contact
General School Complaints (not including areas listed below)	School Complaints Procedure	Head Teacher of the School
Matters the responsibility of Local Authority only e.g. Home-to-School Transport	Local Authority Complaints Procedure	Strategic Commissioning, Children and Adults Services, County Hall, Durham, DH1 5UJ
Conduct of school staff (except the Head Teacher)	School Disciplinary Procedure	Head Teacher of the School
Conduct of the Head Teacher	School Disciplinary Procedure	Chair of the Governing Body via the School
Special Educational Needs review of or content of statutory statement	Various – provided for by 1996 Education Act	Special Educational Needs: Placement and Provision, Children and Adults Services, County Hall, Durham, DH1 5UJ
Admissions of Pupils to: County or Controlled Schools Church (Aided) Schools	Independent Appeal Panel (non-admission) Appeal Panel (non-admission)	Admissions Team, Children and Adults Services, County Hall, Durham, DH1 5UJ Governing Body of the school
Exclusion of Pupils: County or Controlled Schools Church (Aided) Schools	Provision of 1993 Education Act Provision of 1993 Education Act	Pupil Casework Team, Children and Adults Services, County Hall, Durham, DH1 5UJ Governing Body of the school
Child Abuse	Local Childrens' Safeguarding Board (LCSB)	Head of Safeguarding and Specialist Services, Children and Adults Services, County Hall, Durham, DH1 5UJ Initial Response: 0845 850 5010

Appendix 2
Complaint Form

Your name:

Pupil's name:

Name of School:

Your relationship to the pupil:

Address:

Postcode:

Day time telephone number:

Evening telephone number:

Please give details of your complaint:

**What action, if any, have you already taken to try and resolve your complaint.
(Who did you speak to and what was the response)?**

What actions do you feel might resolve the problem at this stage?

Signature:

Date:

Official use

Date acknowledgement sent:

By who:

Complaint referred to:

Date:

Appendix 3

Procedure for Hearing the Complaint

Introduction

The aim of the meeting is to resolve the complaint and achieve reconciliation between the school and the complainant.

Order of Meeting

1. The Chair welcomes the complainant and asks those present to introduce themselves.
2. The Chair explains the purpose of the meeting, the procedure, and checks that all written evidence has been made available to all parties.
3. The complainant explains their complaint, calling witnesses if appropriate.
4. The Committee and Head Teacher may ask questions of the complainant and witnesses.
5. The Head Teacher is then invited to present a response to the complaint, including action taken to address the complaint at stages 1 and 2 of the procedure, calling witnesses, if appropriate.
6. The Committee and complainant may ask questions of the Head Teacher.
7. The Head Teacher summarises the schools position, highlighting evidence, including anything that has emerged in the questioning.
8. The complainant summarises their case, highlighting evidence, including anything that has emerged in the questioning.
9. The Chair of the Committee checks that all parties feel that they have had a fair hearing and reminds everyone of the confidentiality of the case.
10. The Chair of the Committee thanks both parties for attending and gives an indication of when they can expect to hear the outcome. All parties then leave the room together.
11. The Committee considers the complaint and reaches a unanimous or majority decision. Where necessary, the Committee decides what action to take to resolve the complaint and, if appropriate, recommends changes to ensure similar complaints are not made in future.
12. When a decision has been made and if agreed in advance, the Chair can inform both parties orally. In any event, both parties are informed of the outcome of the complaint in writing, within 5 school days of the hearing.